

Epidemiologic Evaluation of Leukemia Incidence in Children and Adults in the Vicinity of the Nuclear Power Plant Krümmel (KKK)

Wolfgang Hoffmann, Eberhard Greiser, Bremen

Abstract

Between February 1990 to the end of 1995 six cases of childhood leukemia were diagnosed among residents of the small rural community Elbmarsch in Northern Germany. Five of these cases were diagnosed in only 16 months between Feb. 1990 and May 1991. The cases all lived in close proximity (500-4500 m) to Germany's largest capacity nuclear boiling water reactor (KKK).

Standardized incidence ratios (SIR) and exact 95% confidence intervals were calculated for a circular area with a 5 km radius around the plant. For the time period 1990 to 1995 the SIR was 464 (208-1034). If the analysis is restricted to the years 1990 and 1991, the SIR increases to 1175 (489-2827).

This cluster of childhood leukemia cases cannot presently be explained in terms of established and putative risk factors for childhood leukemia, including radiation from medical sources.

After this unprecedented childhood leukemia cluster had been detected, it was hypothesized that leukemia incidence could also be increased in adults. To test this hypothesis, a retrospective incidence study was conducted which covered 10 years (1984-1993) and three adjacent counties with a total population of about 470,000. Case ascertainment was done perusing documents of multiple primary data sources including all hospitals, institutes of pathology, oncology centers, as well as practicing physicians and three Departments of Health within the study area and

in adjacent counties, respectively. After linking of documents from all sources a total of 1985 verified cases of leukemias, malignant lymphomas and multiple myeloma remained. Incidence rates were standardized to the 1987 West Germany census population structure. Proximity to KKK was operationalized by constructing concentric circles with radii 5 km (10, 15, 20 km) around the site of the plant.

When overall incidence was used as a reference, SIR for leukemia in the 5 km-region was elevated for both genders (observed 51, expected 40; SIR 128; $p=0.046$), whereas no such elevation was observed in any of the other circles. The increased incidence was attributable to males (SIR 156; $p=0.01$) and was most pronounced, but not confined to, chronic myeloid leukemia. When the analysis was restricted to persons below age 65, SIR were significantly increased for both males and females. The excess risk observed over the 10 year study period was exclusively due to an increased incidence from 1989 through 1993.

A subsequent case control study including all cases identified in the incidence study is indicated to identify possible causes for the excess leukemia risk for children and adults in the vicinity of KKK.

Introduction

The rural community Elbmarsch is situated on the southern bank of the river Elbe, some 30 km south-east of the City of Hamburg in Lower Saxony. In a population of less than 2000 children under 15 years 6 ca-

ses of leukemia were diagnosed since 1990. Five of these cases had occurred in the time period between February 1990 and May 1991. The sixth case was diagnosed recently in 1995. This cluster is hitherto unprecedented in Germany with respect to its temporal and spatial dimension [19]. Though we cannot exclude the existence of a comparable childhood leukemia cluster elsewhere, we are presently unaware of any mentioning in the international literature.

The cases were all living in close vicinity to the nuclear power plant Kernkraftwerk Krümmel (KKK) which is situated at the northern bank of the river Elbe, just opposite of the Elbmarsch. KKK is Germany's largest capacity nuclear boiling water reactor (1300 MWel). It was put into operation in 1984. Adjacent to KKK the nuclear research institute GKSS (Gesellschaft zur Kernenergieverwertung in Schiffbau und Schifffahrt) operates two research reactors of 5 and 15 MW, respectively, since the late 1950s.

This epidemiological situation generated considerable public concern and media coverage. The local authorities reacted promptly: In order to investigate possible causes of the increased incidence of leukemias, the Federal State of Lower Saxony in Feb. 1992 established an interdisciplinary scientific committee, which initially consisted of 25 experts.

Established and suspected risk factors for leukemia include low dose ionizing radiation from natural [11, 43, 57, 59] and man-made sources [54, 60] at all ages (preconceptional [26, 66, 80], prenatal [18, 30, 61, 64], and postnatal [28, 56]), exposure to benzene [1, 6, 50-52], low-frequency electromagnetic fields [23, 76, 91] (see [74, 75, 90] for recent reviews), and pesticides [9, 21, 34, 58, 68]. Despite detailed investigations into the medical, residential, family, social and occupational histories of the afflicted families which were supplemented with extensive physical, chemical, and toxicological analyses, no unusual exposure to any of these factors was detected. The expert committee therefore concluded, that only a detailed epidemiologic study could shed further light on the etiology of the cluster. In order for any epidemiological approach to be sensible, however, both the age range and the area that is being studied needed to be extended. As a first step the expert committee suggested a retrospective incidence study, covering children and adults in the three adjacent rural districts of the Elbmarsch (total population 480,000). The project was assigned to the Bremen Institute for Prevention Research and Social Medicine, where considerable experience was available from earlier studies with similar questions [33, 35, 36, 45-47].

Tab. 1 The Retrospective Incidence Study Elbmarsch: Design elements

Study area	Rural districts Lüneburg, Harburg (Lower Saxony); Rural district Herzogtum Lauenburg (Schleswig-Holstein) Total population 480.000
Target diagnoses	Leukemia (all forms), malignant lymphoma, multiple myeloma, related diseases and syndromes
Inclusion criteria	Residence in study area at time of 1st diagnosis, German citizenship
Age groups	all ages (children, adults)
Study period	1984 - 1993
Methods	Active case ascertainment, compilation of multiple primary data sources

Materials and Methods

Design

Principal design of the study presented herein is a retrospective geographical incidence analysis. The most important design features are summarized in Tab. 1.

Case ascertainment and data sources

Fundamental requirement for any geographical incidence study is the complete ascertainment of all incident cases alike over all single years of the study period and in all regions of the study area.

Whereas this task is trivial in countries in which central cancer registries exist, it becomes particularly tedious in countries without established registration. In Germany only childhood cancers are routinely registered in a central cancer registry [55]. Hence case ascertainment needed to resort to the vast array of primary data sources. Among the sources analysed were the patient documentation and medical history file archives of all hospitals in the study area, the pathologic and cytologic institutes which cover the study area with respect to tissue samples and biopsies, and the private practices of all General Practitioners and a number of other specialties in the study area. Since patients with target diseases are often referred to University Hospitals, specialized clinics, or treatment facilities, several sources outside the study area were included. Finally all death certificates for the study period and area were abstracted in the respective Departments of Health (Tab. 2). Wherever available, secondary sources (discharge books, laboratory protocols, referral books, nurses' notes etc.) were also completely screened for candidate cases. Any hint was validated thoroughly, however cases were only accepted if the secondary data could be confirmed in the medical history or any equivalent written document.

Altogether some 2.5 million files were analysed for this study.

Tab. 2 Primary data sources

Hospitals in study area	8
Hospitals in adjacent rural districts and regional centers	14^{*)}
- Departments	46
Hematology/Oncology	4
Internal Medicine	15
Pediatrics	3
Pathology	8
Radiation therapy	6
Surgery	7
Computer tomography	2
Ear, nose, throat	1
Private practices	314
- Specialities	-
General Practitioners	223
Internal Medicine/Oncology	63
Pediatrics	28
Regional oncological clinics	3
Dept. of Health	3

*) Including Medical Universities: Kiel, Lübeck, Hamburg (UKE)

Record linkage

All cases were subsequently compared by various dimensions (Date of birth, sex, diagnosis, date of first diagnosis, rural commune of residence) to link the datasets of those patients who had been abstracted from more than one data source. As a consequence of the complete screening of all available data sources some 60% of the patients had been documented in at least two independent sources (not counting different data sources within the same department or hospital).

The first stages of the record linkage were always performed while the screening in various primary and secondary data sources and departments of the same hospital was still ongoing. Most discrepancies could thus be resolved and many incomplete datasets could still be supplemented by specific investigations with full access to the original data.

Remaining inconsistencies and unconfirmed cases could in some cases be solved and completed in the final step of the record linkage process, in which the data from all sources were compiled.

Standardized incidence ratios

As a measure for the geographical incidence pattern standardized incidence ratios (SIR) were calculated. SIR reflect the ratio between an observed (age-)standardized incidence and a reference incidence. An SIR of 100 indicates that the standardized incidences in the region of interest is the same as in the reference population. An SIR below 100 means a lower standardized incidence in the region of interest, an SIR above 100 corresponds to an increased standardized incidence in the region of interest.

P-values were calculated for all SIR under the assumption of a Poisson distribution [7] to quantify the probability that an observed increase in incidence is actually due to chance. In line with the general convention, statistical significance is assumed if this probability is less than 0.05. In the results section of this paper approximated confidence intervals are given in addition to the p-values. Unlike the p-values, these confidence intervals are based on rounded SIR. The purpose of these intervals is visualization of the differential precision of single values in the figures. They should not be interpreted in terms of statistical significance.

Reference population

The most desirable reference population in a geographical incidence study would be the general population of the Federal Republic of Germany as a whole. However, incidence data on the national level do not exist in Germany. In Federal State of Saarland incidences have routinely been published for each year after 1972, but due to considerable differences with respect to population density, industrialization and other factors we assume that the figures do not readily apply to the population in Northern Germany.

To avoid systematic under- or overestimation of the expected incidence in the study area, we selected as the reference the overall incidence in the pooled population of the study region. Indirect age standardization for both the incidences in the regions of interest and the reference was performed applying the age-specific incidences to the population of the Federal Republic of Germany, as determined in the national census of 1987.

Hypothesis

In principle, an incidence study can be done for purely descriptive purposes and hence does not necessarily require an a priori hypothesis. However, the circumstances under which the Retrospective Incidence Study Elbmarsch was commissioned clearly implied a geographical analysis with respect to the nuclear power plant Krümmel and the GKSS. It was to be determined, whether or not an increase in leukemia incidence, which had been observed in children in the direct vicinity of the nuclear facilities would also be present in adults. To allow for analysis of a trend with distance, concentric regions of 0-5, 5-10, 10-15, 15-20 and more than 20 km around the facilities were constructed.

The second purpose of the study was to derive SIR for each of the 35 administrative

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units of the study area („incidence mapping“; results not presented).

Results

For the years 1984-1993 4600 cases with target diseases were abstracted from the primary data sources. After extensive record linkage 2253 distinct cases remained. In 261 of these information on the year of primary diagnosis was insufficient or completely absent. The majority of these cases had been abstracted from death certificates which in Germany do not routinely contain this information. To avoid any possible overestimation of the SIR, all 261 were excluded from the analysis, although a major proportion of these cases had likely been diagnosed in the study period. Another seven cases were documented with typical monoclonal gammopathy, but the diagnosis of multiple myeloma could not be substantiated before the study was closed. These cases were also excluded. Consequently, the analysis was based on 1985 cases (Table 3).

Leukemias

Main result of the analysis was a statisti-

cally significant increase in the incidence of leukemia (all forms) for both sexes combined in the 0-5 km-region around the plant. Between 1984 and 1992 51 cases were observed, whereas only 40 cases would have been expected. The (age-)standardized incidence exceeded the overall age-standardized incidence in the study area by 28 % (SIR=128; $p=0,046$, Fig. 1).

No significant deviations from the expected values were observed in any of the remaining concentric regions for both sexes combined and in separate analyses for males and females. The gender specific analyses, however, revealed that the excess incidence in the vicinity of the plant was confined to males (32 cases observed, 21 expected; SIR = 156; $p=0.0099$; Fig. 1).

Chronic myeloid leukemia

Chronic myeloid leukemia (CML) accounted for about half of the excess cases in the 0-5 km region. In a subgroup analysis the SIR for CML was 194 for both sexes combined ($p = 0.049$), the excess again being attributable to excess cases in males (obs. 10, exp. 5.4; SIR = 275; $p=0.013$; Fig. 2).

Tab. 3 Distribution of cases over diagnostic groups and subgroups

Diagnoses	Males	Females	Total
Leukemias (all forms)	338	295	633
Major subgroups:			
- acute leukemia	133	99	232
- other leukemia	205	196	401
Subtypes:			
- CML	48	37	85
- CLL	137	135	272
NHL	321	274	595
M.Hodgkin	91	66	157
Mult. myeloma	142	175	317
Myeloproliferative syndrome/ myelodysplastic syndrome	115	139	254
Aplastic anemia	8	21	29
Total	1015	970	1985

CML = chronic myeloid leukemia; CLL = chronic lymphatic leukemia; NHL = Non Hodgkin's lymphoma; Mult myeloma = multiple myeloma

Age distribution of the SIR

In another subgroup analysis, cases were dichotomized according to their ages at the time of first diagnosis. When age 65 is used as a cutpoint roughly half of the patients end up in the younger age group.

The results of the cases below 65 years show a different pattern as was observed for all age groups combined. Again, incidence of all leukemias was found significantly increased in the central region (obs. 31, exp. 16.8; SIR=178; $p=0.0023$). However, unlike in the previous analysis for all ages combined, among the younger cases both males and females contributed to this increase to a comparable extent. About half of the excess cases under 65 years of age were males (obs. 17, exp. 9.8; SIR=65.6; $p=0.041$) and females, respectively (obs. 14, exp. 7; SIR=194.4; $p=0.013$; Fig. 3). This pattern was similar in separate analyses for the agegroups 0-15 and 15-64 years (results not shown).

Comparison of SIR in 1984-1988 vs. 1989-1993

To evaluate any temporal trend in leukemia incidence in the vicinity of the nuclear power plant the study period was divided into two consecutive 5 year periods, 1984-1988 and 1989-1993, respectively. The excess in leukemia incidence in the 0-5 km region for both genders combined was confined almost exclusively to the more recent 5 year period (obs. 30, exp. 19.3; SIR=155; $p=0.015$), whereas between 1984-1988 no deviation from the expected value was observed (21 obs., 21.1 exp.). The increased incidence in the 0-5 km region in 1989-1992 again was mostly attributable to excess cases in males (obs. 18, exp. 10.1; SIR=180; $p=0.016$), but SIR were also elevated in females (obs. 8, exp. 5.5; SIR=127.3), though this elevation was not statistically significant ($p>0.2$).

Discussion

„Cluster“ analysis has become a widely used term for the study of spatial disease patterns in small geographical areas [15]. Though by definition ecological at the design level, many small area studies have been supplemented with investigations at the individual level. Cluster analysis then develops into „micro-epidemiology“ [71]. While micro-epidemiology has been criticized for its conceptual and methodological limitations [72, 73], some well-known examples nevertheless prove its principal potential as a tool in environmental epidemiology [2, 13, 44, 67, 78].

Results of cluster analysis and micro-epidemiology have become key arguments in a debate on childhood and juvenile leukemia risks in the vicinity of nuclear facilities (see [25, 62, 81, 89] for reviews). Increased risks were observed in geographical studies in England and Wales [3-5, 12, 22, 24, 27, 70, 84, 85], Scotland [41, 42, 48], the US [10, 14, 31, 32, 40, 53], Germany [17, 37, 38, 46, 63, 69] and recently in France [86-88].

Hardly ever, however, have detailed geographical leukemia incidence studies included adults of all ages. This is likely due to both methodological difficulties and the tremendous endeavour of a complete case ascertainment in terms of time and cost.

In the Southeastern Massachusetts Health Study, all leukemias except CLL were ascertained among adults over a period of three years in five towns adjacent to the nuclear boiling water reactor Pilgrim near Portsmouth, Massachusetts (USA). Data sources were vital statistics records and the Massachusetts cancer registry. Using statewide incidences as a reference, the SIR for all leukemias (except CLL) was 160 (27 obs., 16.9 exp.; 95% confidence interval 108-237) [10]. In a subgroup analysis, also the SIR for myelogenous leukemias was significantly increased (19 obs., 9.9 exp.; SIR=191; 95%CI 120-304). As in our

study, the increase was confined to males (13 obs., 5 exp.; SIR 252).

In a subsequent case control study including 115 leukemia cases and 230 healthy controls, information on individual risk factors like smoking, socioeconomic factors, and occupational exposures were obtained by means of standardized telephone interviews and the analyses were adjusted for each of these factors simultaneously. A composite exposure index was then calculated using distance of residence and place of work to the plant, weighted with the time spent at each location and the total time the respective locations were downwind of the plant. When levels of this exposure index were trichotomized, and the lowest third was used as a reference, resulting odds ratios increased from 2.2 (95%CI 0.9-5.2) for males and 1.5 (0.4-5.6) for females in the medium third to 3.12 (1.2-8.5) and 6.5 (1.53-27.2) for males and females, respectively in the highest exposure category [65].

Radioactive exposure

The childhood leukemia cluster in the 0-5 km region immediately suggests a potential role of radioactive releases of KKK and/or GKSS. However, careful examination of protocols of the routine environmental radiation surveillance around the nuclear facilities yielded no indication for any major accidental release. Radioactive contamination of livestock, soil, and vegetation with long-lived radionuclides was in the range which was usually observed in Northern Germany after the Chernobyl catastrophe. Whole-body counting of Elbmarsch inhabitants in 1991 likewise yielded moderately elevated specific activities of incorporated Caesium-137 which could be attributed to the Chernobyl fallout.

Many authors have stressed that exposure doses in the vicinity of nuclear power plants in normal operation are several or-

ders of magnitude too small to cause any somatic effect [16, 20, 39, 65].

Discussing a potential contribution of radioactive releases of the plants in quantitative terms, however, it should be taken into account that

- the susceptibility for radiation-induced leukemia increases with younger age by a factor of 5-10 (adults vs. children below age 5 [79]). When prenatal exposure is considered this ratio comes close to 100 [82].
- preconceptional exposure adds a comparatively new exposure pathway which is still not accounted for in conventional risk coefficients [66, 80]. The magnitude of this effect is presently unknown.
- effects of complex combinations of environmental exposures are still very poorly understood. Nevertheless, synergistic effects between radiation and other, e.g. chemical exposures could well enhance the leukemogenic potential of either of the factors [29, 92].

Finally, exposure assessment in such studies is usually considerably less than perfect. Routine environmental radiation surveillance can miss exposures due to α - and β -emitters or extremely inhomogenous spatial/temporal distributions of radionuclides. Indeed, we have speculated earlier [77] that elevated rates of structural chromosomal aberrations in a casual sample of Elbmarsch residents would be compatible with past releases of short-lived fission products which could have been missed by routine surveillance.

Some of the subgroup results are well in line with the radiation hypothesis. For all leukemias, the increase in SIR was highest in children, but, to a lesser degree was also present in adults. CML, which was also significantly increased in both sexes and in males, is a sentinel radiation-induced leukemia. The fact that the increase in inci-

dence began only after 1989, whereas no such elevation was observed for the years 1984-1988 could correspond to the commissioning of the nuclear power plant in 1984. A minimum latency period of 5 years for radiation induced leukemia is compatible to the findings in the survivors of Hiroshima and Nagasaki [8] as well as in the Oxford Survey of Childhood Cancer [83]. The maximum of the latency distribution for adults, however, is considerably later than 5 years [49]. Hence, if the increase in leukemia incidence in the 0-5 km region would indeed be radiation-induced this would imply that the bulk of excess adult cases is still to come, even if the radioactive exposure was confined to the early years of operation of the plant.

At this point geographical epidemiology cannot provide any further insight. The abundance of investigations and measurements which have so far been performed can be condensed into just a few causal hypotheses, which now must be tested in analytical studies. For this purpose a large case-control study is presently being designed and will probably be commissioned by the Federal Ministry for the Environment, Natural Protection and Reactor Safety in early 1996.

Conclusion

An increased leukemia incidence in adults was observed in the 0-5 km region around the nuclear boiling water reactor Krümmel and the nuclear research facility GKSS, an area where a hitherto unprecedented childhood leukemia cluster had been confirmed earlier.

It cannot be stressed enough, though, that due to the ecologic character of the Retrospective Incidence Study Elbmarsch the findings must not be interpreted in terms of causality. So far, the association between an increased incidence of leukemia and the immediate vicinity of the nuclear reactor Krümmel is merely geographical.

However, main results of this study as well as findings in subgroup analyses are compatible with a contribution of the nuclear facilities to the excess cases:

- a significant increase in leukemia incidence in the 0-5 km region around the nuclear power plant, for both genders combined and males only (1984-1993), together with expected incidences in all other geographical regions.
- a significant increase in chronic myeloid leukemia in both genders and males only (1984-1993)
- significantly elevated SIRs for leukemia in males and females below 65 years of age (1984-1992)
- appearance of excess cases only after 1989 whereas incidences were as expected in the first 5 years of the operation of the plant.

These results clearly require further investigations into the possible causes for this unique cluster of childhood and adult leukemia. Most appropriately this could be done by means of an epidemiologic case-control study.

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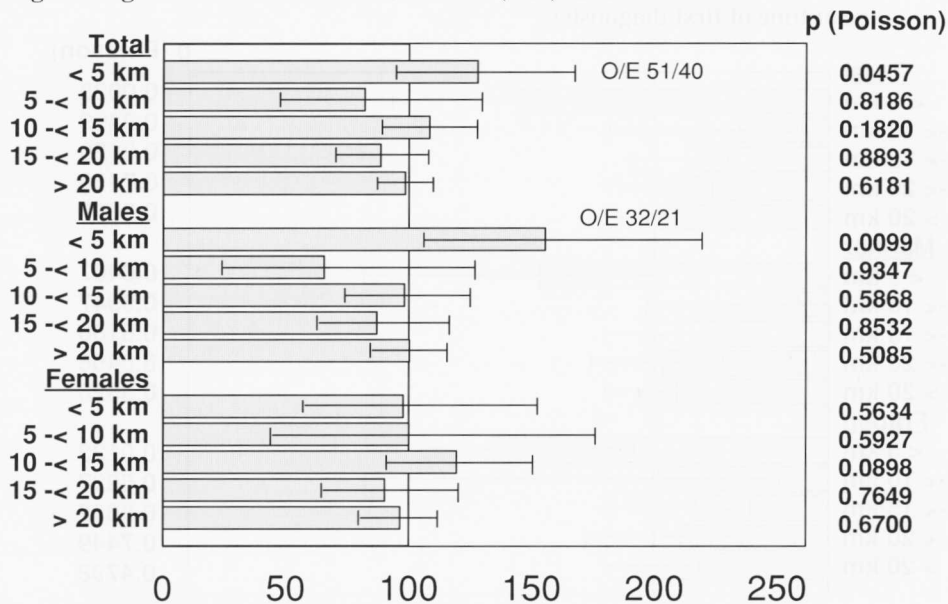
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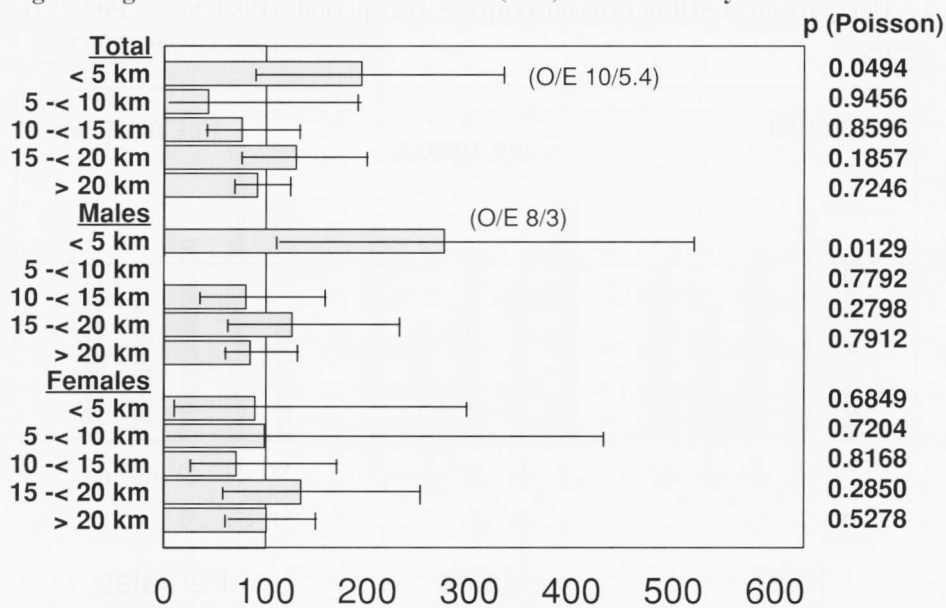
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Fig. 1 Age-standardized incidence ratios (SIR) for all leukemias



o/e = observed/expected number of cases

Fig. 2 Age-standardized incidence ratios (SIR) for chronic myeloid leukemia



o/e = observed/expected number of cases

Fig. 3 Leukemia incidence in agegroups below 65 years (age at time of first diagnosis)

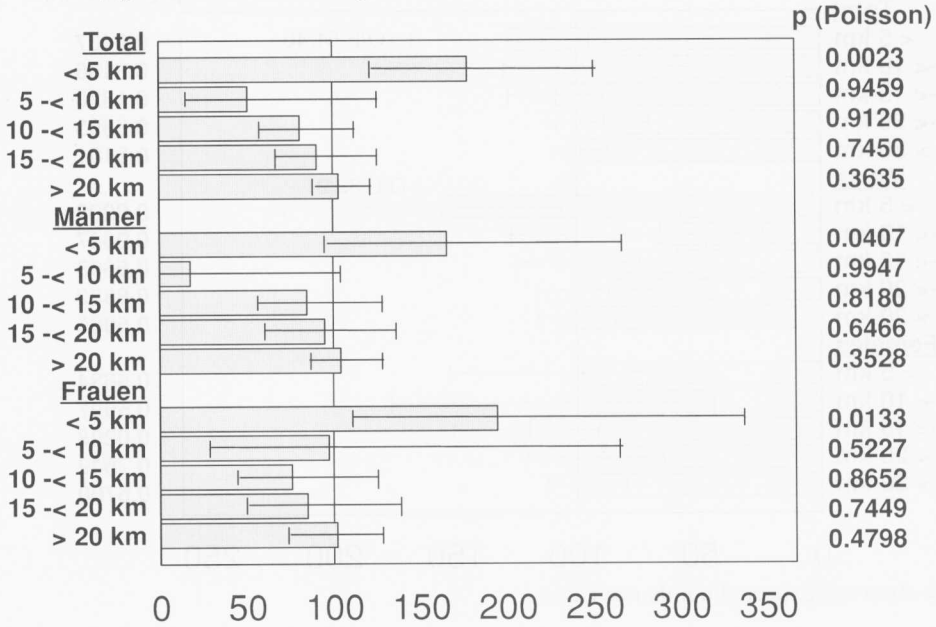
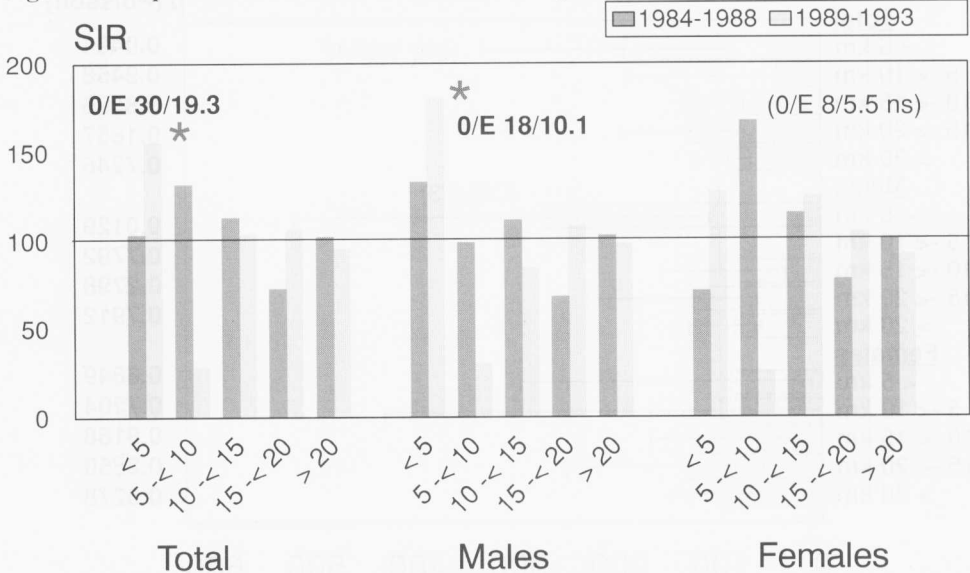


Fig. 4 Comparison of SIR in two consecutive 5 year periods (1984-'88 vs. 1989-'93)



o/e = observed/expected number of cases